

Sanders & Scott, ChFC Privacy Policy and Notice

This Privacy Policy and Notice (“Notice”) is being provided on behalf of Sanders & Scott, ChFC® (“S&S”).

Purpose of this Notice

This Notice has been prepared to describe the types of information that we may collect from you, and how we safeguard sensitive information about our clients and those individuals authorized (“Authorized Individuals”) to act on their behalf.

Information We Collect

S&S may collect a variety of personal Information from its clients and their Authorized Individuals. The following list outlines the most common types of such information.

- Full legal name
- Telephone number(s)
- Home and/or business address
- Taxpayer ID number(s)
- Date of birth
- Certain corporate records
- Designation of Authorized Individuals

How We Use Collected Information

All financial companies generally need to share personal information they collect from their clients in order to carry out their everyday business. In the section below, we will identify the reason we may share personal information; whether or not S&S chooses to share such information; and whether you can limit this sharing. *Please note that text messaging (SMS) opt-in or phone numbers for the purpose of text messaging are not being shared.*

Reasons we may share personal Information	Does S&S share this information?	Can you limit this sharing?
For our everyday business purposes... Such as to verify an individual's identity under federal anti-money laundering requirements; process transactions; respond to court orders and legal investigations; or report to credit bureaus	YES	NO
For our marketing purposes... To offer our products and services to you	YES	NO
For joint marketing with other financial companies	NO	N/A
For our affiliates' everyday business purposes... Information about your transactions and experiences	NO	N/A
For our affiliates' everyday business purposes... Information about your creditworthiness	NO	N/A
For our affiliates to market to you...	NO	N/A

Other Important Information

How does S&S protect my personal Information?	To protect your personal Information from unauthorized access and use, we use security measures that include computer safeguards; limitation of access to personal Information; and secured files and buildings.
How does S&S collect my personal Information?	We collect personal Information during the initial engagement of S&S for the provision of investment management services which may involve the completion of an investment management agreement, client profile document/record, subscription agreement, investor questionnaire, etc. We may also collect personal Information verbally either over the phone or during in-person meetings with clients and Authorized Individuals. We may also collect personal Information from other parties such as credit bureaus, affiliates or other companies.
Why can't I limit all sharing?	<p>Federal law gives a consumer the right to limit the sharing of personal Information in the following situations:</p> <ul style="list-style-type: none">• Sharing for an affiliates' everyday business purposes—the sharing of information about your creditworthiness;• Affiliates attempting to use your personal Information to market to you; and• Non-affiliates attempting to use your personal Information to market to you. <p>State laws may give you additional rights to limit sharing.</p>
How does S&S treat my personal Information once I am no longer a client or an Authorized Individual?	S&S will share Personal Information about a former client or Authorized Individual only if required to do so by law, rule, or regulation or to those parties who need such information in order to carry out any continued obligation with respect to the services covered by the former/current advisor/customer relationship.

Important Terms

Affiliates - Companies related by common ownership or control. They can be financial and non-financial companies.

Non-affiliates - Companies not related by common ownership or control. They can be financial and non-financial companies. Non-affiliates we share with can include fund administrators, custodians, brokers, dealers, counterparties, auditors, legal advisors, regulators, and law enforcement agencies.

Joint marketing - A formal agreement between non-affiliated financial companies that together market financial products or services to you. S&S does not engage in joint marketing efforts.

Questions?

Always feel free to contact us with any questions. Call Preston Sanders or Trey Scott at 770-760-8933; or email preston@sandersandscott.com or trey@sandersandscott.com.

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